

(To be printed on Rs. 100/- denomination Stamp Paper)

**FORM IV**

[See rule 25(1) (e)]

**AFFIDAVIT**

Affidavit of \_\_\_\_\_ daughter/son/wife of \_\_\_\_\_  
resident of \_\_\_\_\_ and holding  
CNIC/Passport No. \_\_\_\_\_

1. <sup>15</sup> That I am the \_\_\_\_\_ of \_\_\_\_\_, and I am well conversant with the facts deposed below.
2. <sup>16</sup> \_\_\_\_\_ is not in default of any regulatory requirement.
3. <sup>17</sup> \_\_\_\_\_ has not been convicted of any fraud offence under the laws administered by the Commission or any other offence involving moral turpitude. <sup>18</sup> [None of the partners, directors and significant shareholders of \_\_\_\_\_, as the case may be, has been convicted of any fraud, offence under the laws administered by the Commission or any other offence involving moral turpitude.
4. No adverse findings of mismanagement or misappropriation have been given against \_\_\_\_\_ <sup>19</sup> [or any of its partners, directors or significant shareholders] in any inquiry or investigation ordered by the Commission.
5. <sup>20</sup> \_\_\_\_\_ is not undergoing any proceedings with respect to insolvency nor any such proceedings are threatened.
6. The statements made and the information given along with the application under rule 8 of the Securities (Leveraged Markets and Pledging) Rules, 2011 is correct and that there are no facts which have been concealed.

<sup>15</sup> Where applicant is a body corporate or a firm

<sup>16</sup> Name of the applicant

<sup>17</sup> Name of the applicant

<sup>18</sup> Where applicant is a body corporate or a firm

<sup>19</sup> Where applicant is a body corporate or a firm

<sup>20</sup> Name of the applicant

7. That I have no objection if <sup>21</sup> \_\_\_\_\_ requests or obtains information about me from any third party.
8. That all the documents provided to <sup>22</sup> \_\_\_\_\_ are true and complete copies of the originals.

**DEPONENT**

The Deponent is identified by me

**Signature** \_\_\_\_\_

**ADVOCATE**

**(Name)**

Solemnly affirmed before me on this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_ by the Deponent above named who is identified to me by \_\_\_\_\_, Advocate.

**Signature** \_\_\_\_\_

**OATH COMMISSIONER FOR TAKING AFFIDAVIT**

**(Name and Seal)**

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21 Name of the authorized intermediary

22 Name of the authorized intermediary