

# Overview

**Provision of Information to the Investors  
through SMS and E-mails**

**July 19, 2016**

# Registration of Email Addresses and Mobile Numbers

- Additional fields **“Email Address”** and **“Mobile Number”** have been added in the UIN Registration Screen of NCSS.
- Such fields shall be **mandatory** to complete the Registration of new investors in the NCSS **effective from Monday July 25, 2016.**
- For the Registration of **“local individual client”** BCMs shall be required to provide **mobile numbers.** Such mobile number should be in the name of such client.
- For the Registration of **“foreigner and corporate clients”** BCMs shall be required to provide **email address.**

- BCM shall ensure that mobile number and/or email address of a particular investor should not be used for any other investor(s).
- Mobile Number and Email Address of **a Broker or its agent, trader or other employee** should not be used for any client.
- BCM shall be required to obtain **authorization** from its client for the purposes of providing mobile number and email address to the NCCPL.
- Mobile number and Email address shall be required for **principal UIN of an account**. Accordingly, SMS/Email shall be send on the principal UIN.



### UNIQUE IDENTIFICATION NUMBER SETUP SCREEN

User ID: C0020801

[Add](#) [Refresh](#) [Close](#) [Help](#)

Search By : Client Code  Location: **Pakistan** [GO!POST](#) [GO!SAVE](#)

Transaction ID: -

Clearing Member ID: 00208

Trader ID: 021-P

Client Type:

UIN:

Client Name:

Client Code:

UIN Category: ---

Mapping Type:

Designation:

CDS Account No.:  NCS Client CDS Account No.:

CDS Account Status:

NCS Client CDS Account

Status:

Verified:

Reason:

Mapping Status:

Records Status:

Email:

NTN:

Joint Holder UIN Type-1:

Joint Holder UIN-1:

Joint Holder Name-1:

Verified:

Reason:

Joint Holder UIN Type-2:

Joint Holder UIN-2:

Joint Holder Name-2:

Verified:

Reason:

Joint Holder UIN Type-3:

Joint Holder UIN-3:

Joint Holder Name-3:

Verified:

Reason:

Mobile:

: IBD Eligible

[SAVE](#)

- The **BCM shall be responsible** for the correctness and completeness of the mobile number and email address of their clients entered in NCSS.
- After necessary system development, the **NCCPL shall verify** that the mobile number entered by the BCM for registration is in the name of the respective client.
- If such mobile number is not in the name of such client, the respective **UIN shall not be activated for trading**.
- BCM shall also be required to **update the mobile numbers and email addresses of their existing clients** at the earliest, however, reasonable time shall be provided to the BCMs for this process .

# Trading Alert through SMS and Email

- Effective from Tuesday July 18, 2016, the NCCPL **shall provide set of trading information** to the Investors based on their UINs at day end.
- For **“local individual clients”**, trading information shall be send through SMS on their **mobile number** and through email on their email address **(if provided in NCSS)**.
- For **“foreigner and corporate clients”**, trading information shall end through email on their email address.
- For **details of their trading information**, all clients of BCMs can avail free of cost **UIN Information System (UIS)** access from NCCPL.



Trading Alert Dated 15-JUL-16:

<b>Market</b>	<b>Symbol</b>
REG	BAFL
REG	BAHL
OMKT	BAFL
OMKT	BAHL
TFC	AGLTFC

For further details please contact NCCPL:

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**Thank You**