



ISO 27001 Certified

National Clearing Company of Pakistan Limited

8th Floor, Pakistan Stock Exchange Building, Stock Exchange Road, Karachi

NCCPL/CM/OCTOBER-16/12

October 21, 2016

Proposed Changes in NCCPL Regulations

Dear Clearing Members,

The following drafts of proposed amendments in the NCCPL Regulations, 2015 are being placed on the NCCPL's website for the information of all concerned. The proposed amendments are subject to the approval of Securities and Exchange Commission of Pakistan (SECP).

- 1. Chapter 31: Annual Regulatory Compliance Audit and Inspection of Clearing Members**
- 2. Annexure A - Scope of Audit - Broker Clearing Members**
- 3. Annexure B - Scope of Audit - Non Broker Clearing Members & Custodian Clearing Members**
- 4. Annexure C - Client Confirmation Letter and Annexure D - Client Confirmation Reminder Letter**
- 5. Annexure E - National Clearing Company of Pakistan Limited - Purchase and Sale Summary Report - Date From April 2014 To June 2014**

In this regard, all concerned are hereby requested to submit their suggestions or comments at info@nccpl.com.pk. Please note that comments or suggestions received within 7 days of the date of notice publication will be considered.

For any further queries or concerns, please feel free to contact the Customer Support Services of your respective locations.

| City | Telephone Number | UAN Number | Fax Number |
|-----------|--|------------------------|--------------|
| Karachi | 021-32460811-19 Ext. 209, 214, 217, 218 & 224 Dir. 021-32438531-32-33 | 021-111-111-622 | 021-32462825 |
| Lahore | 042-36280815-7 | | 042-36280818 |
| Islamabad | 051-2895460-62 | | 051-2895463 |

Regards,

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Rehan Saif
Head of PD & CSS