



ISO 27001 Certified

## National Clearing Company of Pakistan Limited

8th Floor, Pakistan Stock Exchange Building, Stock Exchange Road, Karachi

NCCPL/CM/MARCH-17/09

March 10, 2017

### **CAPITAL ADEQUACY REQUIREMENT - CERTIFICATE OF NET CAPITAL BALANCE - 2<sup>nd</sup> REMINDER**

Dear Broker Clearing Members,

This is with reference to our notices NCCPL/CM/FEBRUARY-17/03 and NCCPL/CM/MARCH-17/03 dated February 7, 2017 and March 2, 2017 respectively on the captioned subject.

In this respect, all Broker Clearing Members (BCMs) are once again advised to note that the certificate of Net Capital Balance ("NCB") as on December 31, 2016 is required to be submitted by March 15, 2017, failing which a penalty shall be imposed as provided in Schedule V of Chapter 12 of NCCPL Regulations, 2015.

For any further queries or concerns, please feel free to contact the Customer Support Services of your respective locations.

| City      | Telephone Number   | UAN Number      | Fax Number   |
|-----------|--|-----------------|--------------|
| Karachi   | 021-32460811-19 Ext. 209, 214, 217, 218 & 224<br>Dir. 021-32438531-32-33 | 021-111-111-622 | 021-32462825 |
| Lahore    | 042-36280815-7   |                 | 042-36280818 |
| Islamabad | 051-2895460-62   |                 | 051-2895463  |

Regards,

\_\_\_\_\_sd\_\_\_\_\_  
Muhammad Asif  
Head of Operations

CC:

1. Executive Director - Policy, Regulation and Development Department - SMD  
Securities & Exchange Commission of Pakistan - Islamabad
2. Managing Director - Pakistan Stock Exchange Limited
3. Chief Executive Officer - Central Depository Company of Pakistan Limited
4. Chairman - Pakistan Banking Association