

# The Clear Call



## Know Your Client (KYC) Information System

The National Clearing Company of Pakistan Limited (NCCPL) has been mandated to perform the role of Central KYC Organization (CKO) by the Securities and Exchange Commission of Pakistan (SECP). Accordingly, NCCPL is developing a centralized **Know Your Client (KYC) Information System**, **More details are inside.....**

## Top Ten Securities

SYMBOL	DESCRIPTION	VOLUME
PTC	PAKISTAN TELECOMMUNICATION COMPANY LTD	854,884,505
TELE	TELECARD LIMITED	721,334,789
FCCL	FAUJI CEMENT COMPANY LIMITED	621,250,173
TRG	TRG PAKISTAN LIMITED - CLASS - (A)	524,271,020
JACL	JAHANGIR SIDDIQUI AND CO. LTD	480,966,032
ENGRO	ENGRO CORPORATION LIMITED	459,381,100
MLCF	MAPLE LEAF CEMENT FACTORY LIMITED	455,752,776
PIAA	PAKISTAN INTL AIRLINES CORP. - (A)	454,809,762
WTL	WORLDCALL TELECOM LIMITED	441,154,224
LOTPTA	LOTTE PAKISTAN PTA LIMITED	426,174,504

## Peep Inside

- ✚ **NCCPL Arranged awareness sessions on facilitation of Multiple Settling Bank.**
- ✚ **Presentation on NCCPL's Role as Central KYC Organization (CKO).**
- ✚ **Training and Development At NCCPL**
- ✚ **Operational Statistics**
- ✚ **Fun Toooooooooooooooooons**

Please send in your contributions, feedback and comments to Afsheen Adnan at: [afsheen@nccpl.com.pk](mailto:afsheen@nccpl.com.pk)

## Awareness Sessions at NCCPL's Offices

## Know Your Client (KYC) Information System



The National Clearing Company of Pakistan Limited (NCCPL) has been mandated to perform the role of Central KYC Organization (CKO) by the Securities and Exchange Commission of Pakistan (SECP). Accordingly, NCCPL is developing a centralized **Know Your Client (KYC) Information System** which will facilitate one time performance of KYC and maintenance of KYC Database for capital market investors.

In order to provide detailed understanding of said system, NCCPL have arranged presentation for the Clearing Members of LSE and ISE. These Sessions were held at NCCPL's Head Office Karachi as well as at NCCPL's Branch Offices (Lahore – Islamabad). At Head Office Mr. Badiuddin Akber (Chief Operating Officer) gave the briefing to the participants, and at the branch offices briefings were given by the respective branch managers.

## Facilitation of Multiple Settling Banks

Previously, Clearing Members (CMs) are required to designate only one bank account in the Designated Branch of a Settling Bank. In order to provide further facilitation to CMs to manage their NCSS money settlement more efficiently and effectively, effective from Monday, February 25, 2013, CMs have been allowed to designate more than one bank account in any of the Designated Branch of a Settling Bank for all NCSS money settlement and collateral requirements.

CMs who are desirous to avail the facility of having Multiple Settling Bank Accounts, are required to enter into a Tripartite Agreement, with the required Designated Branch of Settling Bank and NCCPL.

Accordingly, CMs can settle their NCSS Money Obligation with any of the Designated Branch of Settling Bank.

## Training and Development At NCCPL

NCCPL believes that successful organizations turn strategy into action instantly and manage processes intelligently and efficiently, to maximize employee contribution and commitment. Accordingly NCCPL frequently plan and execute participation of employees in relevant training programs.

This Quarter Employees from different departments were nominated to the following training programs;

1. **ACE Your Game Workshop.**
2. **Advanced MS-Office 2007.**
3. **Workshop on Shariah Compliant Stock Market Operation.**

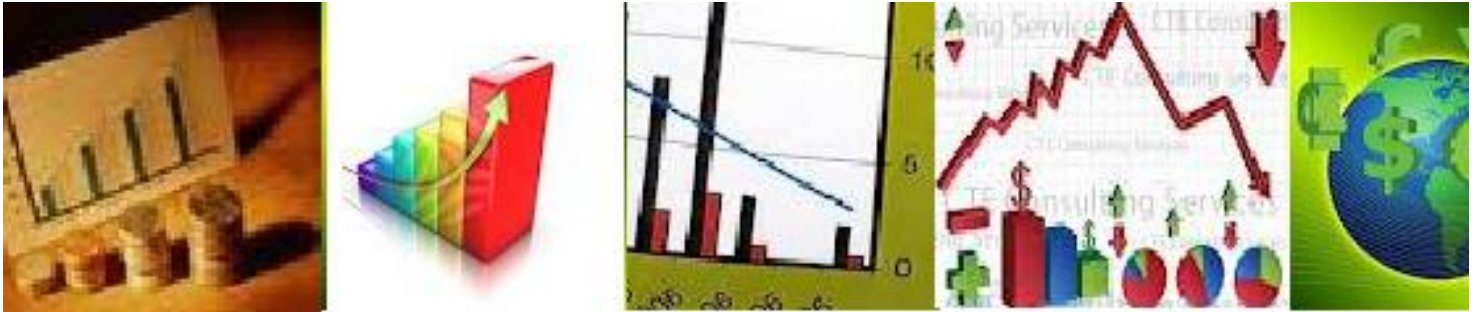
- **ACE Your Game Workshop:** Six employees from NCCPL participated in the workshop conducted by renowned trainer Ms. Shireen Naqvi; an event with 50 participants. It was a fun filled, interactive learning session and the feedback from participants was extremely positive.



- **Advanced MS-Office 2007:** NCCPL's employees also participated in Advanced MS Office 2007 workshop organized by Institute of Cost & Management Accountants of Pakistan "ICMAP". This was in recognition of the fact that Microsoft Excel is most widely used office tool and such training shall help participants to get mastered to work on advanced systems.
- **Workshop on Shariah Compliant Stock Market Operation :** NCCPL also nominated an employee for the Workshop on Shariah Compliant Stock Market Operation which was organized by NBFI & Modarba Association of Pakistan in collaboration with Diyanah Islamic Financial Services (Pvt) Limited. The purpose of the training to enhance Islamic knowledge about trading at the Stock Exchange.

Such managerial soft skills and professional trainings on regular basis improves employee morale and thereby increase employee overall performance at work place.

# Operational Statistics



## Management of Exchange Trades and Non Exchange Transactions

Description	No. of Trades/ Transactions	Volume of Trades/ Transactions	Value of Trades/ Transactions
Exchange Trade/Transactions	3,714,540	15,103,074,895	415,630,242,503
Broker to Broker (BTB) Transactions	616,888	1,790,932,970	43,321,462,691
Institutional Delivery System (IDS) Transactions	346,514	2,318,581,604	117,735,175,214
Debt Market Transactions	101	328,297	1,608,611,833
Margin Trading System(MTS) Transactions	13,805	230,389,600	11,255,613,018
Margin Trading System Release (MTR) Transactions	24,440	230,040,700	11,068,853,621
Security Lending & Borrowing(SLB) Transactions	-	-	-
Security Lending & Borrowing Release (SLBR) Transactions	-	-	-
Margin Financing (MF) Transactions	2	1,500	22,331

## Management of Balance Order (BO)/Pay & Collect Settlement

Description	No. of BOs	Volume of Settlement	Value of Settlement (Rs.)
Balance Order Settlement	1,036,420	8,304,594,785	213,929,343,084
Pay & Collect Settlement			84,000,589,401

## Additions to NCSS Family during this Quarter

	During the Qtr	By end of the Qtr
CLEARING MEMBERS: (Total)	2	407
Total Broker Clearing Members :	2	259
Total Non Broker Clearing Members	-	144
Total Custodian Clearing Members	-	4
Total MF Finanee Clearing Members	-	15
Total MF Financier Clearing Members	-	14
Types of CMs:-		
Broker Clearing Member	2	259
Custodian Clearing Members	-	4
Bank	-	20
DFI	-	9
Mutual Funds	-	104
Others	-	11
Settling Banks	-	21
Settling Bank Branches	-	33
NCSS Eligible Security	5	572
IDS Eligible Security	5	537
TFC Eligible Security	4	35
BOND Eligible Security	-	2
BTB Eligible Security	1	499
MF Eligible Security	1	479
MTS Eligible Security	8	33
SLB Eligible Security	1	481
Eligible Securities as Collateral for FIRMS		75
Eligible TFC's as Collateral for FIRMS		12

## List of Clearing Members / Non Broker Clearing Members joined NCSS during this Quarter

Sr. #	CM Name	Type
1	ASA STOCKS (PVT.) LIMITED	BROKER
2	JAHANZAIB SECURITIES (PVT) LIMITED	BROKER



## List of Securities joined NCSS during this Quarter

Sr.#	SECURITY NAME	SYMBOL
1	SFL LIMITED	SFLL
2	TAMEER MICROFINANCE BANK LIMITED-TFC1	TMFBLTFC
3	TAMEER MICROFINANCE BANK LIMITED-TFC2	TMFBLTFC2
4	JAHANGIR SIDDIQUI & CO.LTD.-TFC (2ND ISSUE)	JSTFC6
5	BANK ALFALAH LIMITED-TFC (20-02-2013)	BAFLTFC5

## Trades V/s Settlement Data for this Quarter

Month	Trade Volume	Trade Value	Based on CM wise/ Symbol Wise Net Position			Based on UIN wise/ Symbol Wise Net Position		
			Settlement Volume	Settlement Value	%age Settlement Value	Settlement Volume	Settlement Value	%age Settlement Value
Jan-2013	4,102,492,861	109,122,134,254	1,762,771,964	46,083,611,736	42.23	2,609,993,252	68,908,558,811	63.15
Feb-2013	6,034,810,439	157,068,988,601	2,468,121,676	61,245,627,286	38.99	3,574,835,951	87,222,973,605	55.53
Mar-2013	4,965,771,595	149,439,119,648	1,913,394,214	55,451,265,499	37.11	2,985,179,770	82,589,616,564	55.27
	15,103,074,895	415,630,242,503	6,144,287,854	162,780,504,521	39.16	9,170,008,973	238,721,148,980	57.44

## Total No. of Unique Identification Numbers (UIN) Registered during this Quarter

	During the Qtr.	By the end of the Qtr.
Total No. of UIN Registered :	4,727	318,565
A) Individual	4,368	311,599
B) Corporate Company	32	1,472
C) Corporate /Individual Broker	2	271
D) Fund /Others	19	916
E) Foreigner Individual	306	4,307

## Total No. of Active Client Codes Registered by the end of the Quarter

UIN TYPE	By the end of the Qtr
Individual	468,388
Corporate Company	7,123
Corporate /Individual Broker	9,656
Fund /Others	9,588
Foreigner Individual	5,210
Total	499,965



## Foreign Investors Portfolio Investments (FIPI) Data Jan - Mar 2013

MONTHS	BUY	SELL	NET
January	7,531,497,299	(6,020,277,314)	1,511,219,985
February	7,674,823,681	(4,841,623,404)	2,833,200,277
March	7,323,312,718	(4,789,061,188)	2,534,251,530
Total	22,529,633,698	(15,650,961,906)	6,878,671,792

## Financial Institution Risk Management System

Average Daily Exposure Drop-Out to Exchanges  
so as to enhance trading capacity of Capital Market

Per Day Avg Exposure during the Quarter	By End of the Quarter (31-03-2013)
1,898,954,439	1,789,262,000

### MTS Open Position / Rate of interest during the Quarter

Description	Avg. Per day during the Quarter	By the end of Quarter
Open Position	1,803,389,562.18	2,020,271,211
Rate of interest	12.96	14.90

## Margin Trading System

### DEBT Market

#### Per Day Avg. Exposure during the Quarter

25,945,352.15



# Fun Toonssssssssssss



Running away from any Problem only increases the Distance from the Solution  
 The Easiest way to escape from the problem is to solve it.