



# NATIONAL CLEARING COMPANY OF PAKISTAN LIMITED

8<sup>th</sup> Floor, Pakistan Stock Exchange Building, Stock Exchange Road, Karachi-74000,  
Phone: (92-21) 32460811-19, Fax: (92-21) 32460827

## APPLICATION FORM (For Eligible Person as Reporting Member)

The Chief Executive Officer  
National Clearing Company of Pakistan Limited ("NCCPL"),  
Karachi-Pakistan

Participant / Account Holder ID. \_\_\_\_\_

NCSS ID: \_\_\_\_\_  
(For Existing Clearing Members)

I/We hereby apply for admission as Reporting Member and hereby authorise you to obtain from Central Depository Company of Pakistan Limited ("CDC") my/our Registration Details and the information set out in the Account Holder/Participation Admission Form which I/we had submitted to CDC, including all updates thereof. I/We hereby authorise and instruct CDC, through you, to supply the above referred Registration Details and other information to you at your request.

### ADDITIONAL INFORMATION REQUIRED FOR NCCPL

1. Full name of Applicant \_\_\_\_\_  
(Please use BLOCK LETTERS)  
(Must be same as CDC Account Title)

2. Mobile No(s) \_\_\_\_\_ 3. Email - \_\_\_\_\_

3. Membership of Pakistan Stock Exchange  
(Only for Broker Clearing Members)

YES	NO	Stock Exchange Member ID

4. Name of Contact Person (for NCCPL) \_\_\_\_\_

5. Address \_\_\_\_\_

6. Documentation Charges A pay order/draft / cheque No. \_\_\_\_\_ dated \_\_\_\_\_ drawn on \_\_\_\_\_ Bank for Rs. 5, 000/-

### DECLARATION

I/We, the undersigned, hereby declare that:

(as Corporate applicant)

- a) We are not in receivership, court-appointed managership or under winding-up/liquidation or under any equivalent form of administration;
- b) none of our chief executive, directors or other officers at any time 5 years before making an application for admission to the CDS, has been convicted of an offence involving moral turpitude or a non-cognisable offence against any law in connection with business, professional or commercial activities.

(as Corporate applicant)

- (i) I/We have never declared defaulter by the Pakistan Stock Exchange.
- (ii) I/We meet the Technical and Performance Requirements, the Business Integrity Requirements, the Capacity Requirements and the as prescribed in or under the National Clearing Company of Pakistan Limited Regulations

## UNDERTAKING

The applicant named in this application hereby undertakes with and confirms to NCCPL that the:

- (a) applicant shall enter into a Reporting Member Agreement with NCCPL in the NCC's standard forms if this application for admission to the Un-Listed TFC Reporting Module of National Clearing and Settlement System (NCSS) in the capacity of Reporting Member is accepted by NCCPL;
- (b) applicant shall furnish to NCC all such further information as NCC may consider necessary for the consideration and processing of this application; and
- (c) information contained in this Application Form or to be supplied hereinafter in connection with this Application is true and correct.

**NAME OF SIGNATORY** \_\_\_\_\_ **DATED** \_\_\_\_\_  
**TITLE** \_\_\_\_\_ **PLACE** \_\_\_\_\_  
**SIGNATURE** \_\_\_\_\_

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### ENCLOSURES (FOR NEW REPORTING MEMBER)

- 1. Specimen signature(s) of applicant and any co-signatories for giving notices to the NCC
- 2. Certified True copy of Board Resolution on standard format authorizing execution of this Admission Application and the relevant NCC Agreements (for Corporate entities)
- 3. Power of attorney in case form is signed by agent of the applicant
- 4. Articles and Memorandum of Association (for corporate entities)
- 5. Reporting Member Agreement with NCC

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### NCSS USER ACCESS POLICY (FOR NEW REPORTING MEMBER)

User No.	Authority in NCSS
• User No. 1	SAVE & POST
• User No. 2	POST
• User No. 3	SAVE

- 1. **SAVE** functionality means to preserve the data into the NCSS application with the intention to execute the transaction at the suitable time within the designated time. Data preserved in **SAVE** mode could be modified, updated or deleted before **POSTING**. Report of **SAVED** data can be generated by **SAVE** mode user.
  - 2. **POST** functionality means to execute the transaction. Once a transaction is **POSTED** it could not be rectified. **POST** mode user can generate report of **POSTED** data.
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