

(To be printed on Rs. 100/- denomination Stamp Paper)

FORM IV

[See rule 25(1) (e)]

AFFIDAVIT

Affidavit of _____ daughter/son/wife of _____
resident of _____ and holding
CNIC/Passport No. _____

1. ¹⁵ That I am the _____ of _____, and I am well conversant with the facts deposed below.
2. ¹⁶ _____ is not in default of any regulatory requirement.
3. ¹⁷ _____ has not been convicted of any fraud offence under the laws administered by the Commission or any other offence involving moral turpitude. ¹⁸ [None of the partners, directors and significant shareholders of _____, as the case may be, has been convicted of any fraud, offence under the laws administered by the Commission or any other offence involving moral turpitude.
4. No adverse findings of mismanagement or misappropriation have been given against _____ ¹⁹ [or any of its partners, directors or significant shareholders] in any inquiry or investigation ordered by the Commission.
5. ²⁰ _____ is not undergoing any proceedings with respect to insolvency nor any such proceedings are threatened.
6. The statements made and the information given along with the application under rule 24 of the Securities (Leveraged Markets and Pledging) Rules, 2011 is correct and that there are no facts which have been concealed.

¹⁵ Where applicant is a body corporate or a firm

¹⁶ Name of the applicant

¹⁷ Name of the applicant

¹⁸ Where applicant is a body corporate or a firm

¹⁹ Where applicant is a body corporate or a firm

²⁰ Name of the applicant

7. That I have no objection if ²¹ _____ requests or obtains information about me from any third party.
8. That all the documents provided to ²² _____ are true and complete copies of the originals.

DEPONENT

The Deponent is identified by me

Signature _____

ADVOCATE

(Name)

Solemnly affirmed before me on this _____ day of _____ at _____ by the Deponent above named who is identified to me by _____, Advocate.

Signature _____

OATH COMMISSIONER FOR TAKING AFFIDAVIT

(Name and Seal)

21 Name of the authorized intermediary

22 Name of the authorized intermediary